

**CERTIFICATE OF MAILING**

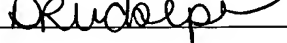
I hereby certify that this STATEMENT is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this 6th day of October, 2006.

Customer Number

**24024**

Typed or Printed name of person signing this certificate:

Danielle Rudolph

Signed: 

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Roycroft	)	Examiner: Not yet assigned
	)	
Serial No.: 10,533,334	)	Group Art Unit: Not yet assigned
	)	
Int'l Filing Date: November 1, 2003	)	Confirmation No.: 5157
	)	
For: <b>AMPHIBIOUS VEHICLE WHEEL</b>	)	Attorney Docket No.: 30451/04013
<b>TRANSMISSION ARRANGEMENT</b>	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

10/16/2006 GFREY1 00000154 10533334

02 FC:1464

130.00 OP **PETITION UNDER 37 CFR § 1.47(b)**

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated April 6, 2006, Assignees of the invention petition the Commissioner under Rule 1.47 to accept the enclosed Declaration and supporting documents reflecting proof of the pertinent facts because of a non-signing inventor.

Applicants submit the following:

- (1) Request for four month extension of time;
- (2) Statement of Facts in Support of Filing on Behalf of Non-signing Inventor with two attachments: Appendix A, an agreement to assign rights in the invention from the Non-

signing Inventor to the Assignee, and Appendix B, a set of emails between the parties that detail the refusal of the Non-signing inventor to sign as required by the Agreement.

(3) executed Declaration under 37 CFR § 1.64 consisting of Declaration presented to Inventor and added page executed by Assignees;

(4) A copy of the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US);

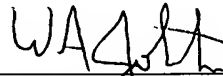
(5) Check for \$990.00 to cover the \$795.00 four month extension of time fee, the \$65.00 missing parts fee, and the \$130.00 for petition fee.

If there are any additional fees due, please charge our deposit account 03-0172.

Respectfully submitted,

Date

10/6/06



William A. Johnston  
(Reg. No.: 47,687)

Calfee, Halter & Griswold LLP  
1400 McDonald Investment Center  
800 Superior Avenue  
Cleveland, OH 44114-2688  
Phone (216) 622-8576  
Fax (216) 241-0816

10/16/2006 GFREY1 00000154 10533334

03 FC:2254

795.00 OP

**CERTIFICATE OF MAILING**

I hereby certify that this STATEMENT is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this 6th day of October, 2006.

Typed or Printed name of person signing this certificate:

Danielle Rudolph

Signed: D. Rudolph

Customer Number

24024

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Roycroft )

Examiner: Not yet assigned

Serial No.: 10,533,334 )

Group Art Unit: Not yet assigned

Int'l Filing Date: November 1, 2003 )

Confirmation No.: 5157

For: **AMPHIBIOUS VEHICLE WHEEL  
TRANSMISSION ARRANGEMENT** )

Attorney Docket No.: 30451/04013

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**STATEMENT OF FACTS IN SUPPORT OF FILING ON  
BEHALF OF NON-SIGNING INVENTOR UNDER 37 CFR § 1.47(b)**

Dear Sir:

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of a Declaration by the non-signing sole inventor for the above-referenced patent application filed in the Patent and Trademark Office.

This statement is being made by the available person having first-hand knowledge of the facts recited therein.

I, Michael J. Giles, an Officer of GIBBS TECHNOLOGIES LTD., having a place of business at Avenue Road, Nuneaton, Warwickshire CV11 4LY, United Kingdom and GIBBS TECHNOLOGIES LTD., having a place of business at Murdoch House, South Quay, Douglas, Isle of Man IM1 5AS, Great Britain (hereinafter "Assignees"), hereby declare that:

1. Mr. Terence James Roycroft, is the named sole inventor of the above-referenced application. Mr. Roycroft previously assigned his rights in this invention to the Assignees on December 21, 2000. A copy of the relevant document titled Agreement is attached as Appendix A (hereinafter "Agreement").

2. The Agreement identifies the invention, among other ways, by the Assignees' numbering system "S39" designation.

3. Terms of the Agreement required Mr. Roycroft to be financially compensated for, among other things, his assignment of rights in the invention to the Assignees. Mr. Roycroft has received full payment under the Agreement.

4. An application was filed in Great Britain for this invention on November 1, 2002 and assigned Serial No. 0225492.8. Subsequently, a PCT application was filed for this invention on November 1, 2003 and was assigned International Filing Number PCT/GB2003/004752.

5. Mr. Roycroft fully participated in duties required by him in the filing and prosecution of the applications identified in paragraph 4, including signing all documents requiring inventor signature.

6. The Assignees' legal representation in the United States has prepared a Declaration under 37 CFR 1.63 for signature by Mr. Roycroft. The Assignees have sent the Declaration to Mr. Roycroft for signature. Upon information and belief, Mr. Roycroft refuses to sign the Declaration.

7. Mr. Roycroft's last known address is 135, Manukau Heads Road, Awhitu, RD4 Waiuku, New Zealand. The Declaration was sent to this address. Employees of the Assignee have also been in contact with Mr. Roycroft for the last several months by email. Mr. Roycroft has acknowledged receipt of the Declaration.

8. Mr. Roycroft has expressly refused to sign the Declaration until he receives additional financial compensation. Mr. Roycroft has made this refusal by email on August 25, 2006, the email being sent to Kenneth R. Strachan, an employee of the Assignees. A copy of that email is attached as Appendix B.

9. The said Kenneth R. Strachan has made a bona fide attempt to obtain the signature of Mr. Roycroft on a Declaration for the above-referenced application. Upon information and belief, Mr. Roycroft will not sign a Declaration before the deadline to respond to the Notification of Missing Requirements under 35 U.S.C. 371.

10. In view of the facts of paragraph 9, the Assignees must make application for patent to preserve rights in the invention in the United States and prevent irreparable harm.

11. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted.

Date: 2nd October 2006

By: Michael J. Giles

Michael J. Giles

Title: Director of Engineering



This Agreement is made the **21st** day of **Dec** 2000

BETWEEN **TERENCE JAMES ROYCROFT** of *Manukau Heads Road, RD4, Waiuku, NEW ZEALAND* (hereinafter called 'the Inventor')

AND

**GIBBS TECHNOLOGIES LTD**, an English company (*Registration No 03689665*) of *Avenue Road, Nuneaton, Warwickshire, CV11 4LY*, (hereinafter called 'GIBBS')

WHEREAS the Inventor has made a number of Inventions relating to *vehicles, amphibious vehicles and component parts thereof* (hereinafter called 'the Inventions') which are identified in the accompanying Schedule to this assignment, either by reference to *UK Patent Application Numbers* (hereinafter called 'the Applications') or by reference to Gibbs Internal Records

WHEREAS the Inventor has agreed to assign all his rights in the Inventions and the Applications to Gibbs or as Gibbs may direct

NOW THEREFORE IT IS AGREED AS FOLLOWS:

1. In consideration of the sum of one pound paid to the Inventor, the Inventor to the extent of his estate and interests in the Inventions and the Applications hereby assigns to Gibbs the Inventions and the Applications and the sole and exclusive benefit thereof and the right at Gibbs sole discretion to apply for, obtain and maintain patents and other like privileges in respect thereof in all parts of the world in the name of the Inventor or of Gibbs or both or of such other persons or corporations as assigns or nominees of Gibbs as Gibbs may think fit together with all rights (including priority rights) in and arising from the Applications.
2. The Inventor for himself and his personal representatives, covenants with Gibbs and its assigns or nominees that at the request and expense of Gibbs he will make do and execute all applications, specifications, assignments and documents, acts, matters and things as Gibbs its assigns or nominees may require for the purpose of applying for and obtaining patents and other like privileges in respect of the Inventions in all parts of the world and will assign to Gibbs or as it shall direct such rights (if any) in said patents and like privileges as may remain vested in them when the same are respectively granted.

Signed by:

TERENCE JAMES ROYCROFT

Witness:

FOR GIBBS TECHNOLOGIES LIMITED:

Witness:

The block contains two handwritten signatures. The top signature is in dark ink and appears to be "T. Roycroft". The bottom signature is also in dark ink and appears to be "D. Gibbs". Both signatures are written over a horizontal line.

# APPENDIX A

Page 2 of 6

Gibbs patents for which Terry Roycroft is listed as inventor/co-inventor Page 1 of 5

Suggestion no.	Draft no.	Inventor (s)	Working title
S2	D2	TR	Synchroniser De-coupler Integrated with CV Joint
S7	D7	TR	Trunnion Mounted Strut
S8		TR	Twin-Shaft Screw Retraction
S9		TR	Pneumatic Suspension/Retraction
S28	D9	TR	Torsion-Bar / Single Shaft Suspension Retraction System
S29	D10	TR	Sliding-Shaft Retraction
S30		TR	Pneumatic Strut including Damper
S31		TR	Pneumatic System
S32	D19	TR	Horizontal Axis Steering Ball Joint
S33		TR	Multi-Axis Spherical Ball Joint
S34		TR	Rotatable Suspension Bushings
S36		TR	Offset Lower Control Arm
S37	D20	TR	Self-Closing Hull Flap
S38	D11	TR	Wheel-Bearing Constant Pressure Lubrication
S39		TR	Alternative Angularity CV Joints
S40	D26	TR	Driveline Synchromesh De-Coupling
S41	D12	TR	Hydraulic Marine Steering
S42		TR	Leading-Link Suspension
S43	D15	TR	Transaxle With Power Take-Off
S44		TR	Off-Set Transaxle
S45	-	TR	90 Degree Bevel Drive
S46	-	TR	S/N Powertrain with Dual Decoupler
S47		TR	Outboard Jet-Unit With PTO
S48		TR	Outboard Jet-Unit With Separate Powertrain
S49		TR	Motorcycle Powertrain (1) - PTO at Clutch
S50	D16	TR	Wrap-Around Transmission with Co-Axial Sprocket PTO
S51		TR	Motorcycle Power Train (2) - V-Belt CVT
S52		TR	Transverse Transmission PTO (2)
S53	D13	TR	Slow-Speed Marine Drive
S54		TR	Automotive Drivetrain With Marine PTO
S55	D21	TR	Sandwich PTO
S56	D14	TR	Crankshaft Front-End PTO
S57		TR	Continuously Variable Transmission PTO
S58		TR	Accessory-Drive Relocation
S59		TR	Modified Jet Unit Intake Casing
S60		TR	Centrifugal Fan On Transverse Engine
S61		TR	Through Sump Drive Shaft #cf S133
S62		TR	Exhaust System Outlet



# APPENDIX A

Page 3 of 6

Gibbs patents for which Terry Roycroft is listed as inventor/co-inventor Page 2 of 5

Suggestion no.	Draft no.	Inventor (s)	Working title
S63		TR	Convertible Roof (1)
S64		TR	Convertible Roof (2)
S65		TR	Keel-Mounted Compartment
S66		TR	Pneumatic-Bag Seat Adjustment
S67		TR	Height Adjustment For Seating (2)
S68		TR	Cooling System Airflow (1)
S69		TR	Cooling System Airflow (2)
S70		TR	Watertight Instrument Compartment
S71		TR	Rolled Panels Formed Without Press Tooling
S76	D22	TR	Retractable Bump Stop
S77	D39	TR	Extruded Suspension Members
S78		ATG TR	Power Train Layouts for an Amphibian with de-couplers
S79		ATG TR	Power Train Layouts for an Amphibian with sandwich type PTO
S80		ATG TR	Power Train Layouts for an Amphibian with unique PTO driving pump
S81		TR	Water-Jet / Low Shaft Angle
S82		TR ST	Water-Jet / Side Shaft Angle
S83		TR	Water-Jet / Adjustable Wear-Ring
S84		TR	Retractable Anti-Roll Bar
S86	D23	TR	Power Train – Transverse with 4WD PTO
S87	D18	TR	Power Train – Longitudinal with Timing-end PTO
S89	D17	TR	Power Train – Longitudinal with 3-way PTO & Under Shaft
S90	(D27) D15	TR	Power Train – Longitudinal Transaxle with Input Shaft PTO
S91	D28	TR	Power Train – Longitudinal Offset Transaxle
S92	D24	TR	Powertrain – Longitudinal with Sandwich Twin-Drive
S93	D25	TR	Powertrain – Longitudinal with Sandwich Under-Drive
S94	D31	TR	Power Train – Transverse Wrap-Around Centrifugal
S95	D29	TR	Power Train - 90 Degree Bevel-Drive
S96	D32	TR	Power Train – Transverse Sandwich Centrifugal
S98	D33	TR	Power Train – Longitudinal with Under-Engine Transaxle
S116		TR	Water Jet/Low Shaft Angle
S117		TR	Radial arm/chain drive
S118		TR	Retractable Anti-Roll Bar
S119		TR	CV Joint/ Self De-Coupler Unit
S120		TR	Amphibious Quadricycle (design)
S121		TR GK	Annular Hydraulic Strut Retraction








# APPENDIX A

Page 4 of 6

Gibbs patents for which Terry Roycroft is listed as inventor/co-inventor Page 3 of 5

Suggestion no.	Draft no.	Inventor (s)	Working title
S122		TR	LCG 50/50 Car – 30/70 Boat
S123		TR	Inflatable Bow/Wave Breaker
S125		TR	De-Coupler Actuation by Retraction Geometry
S131	D37	TR	Pneumatic Bag Suspension
S132	D38	TR	Power Train – South North with 2 Transfer Drives
S133		TR	Power Train - Honda Acura (S/N) #cf S61
S134		TR	Power Train – Sport Jet with E/W Transmission
S135		TR	Power Train – Sport Jet with N/S Transaxle #=S199
S137		TR	Motor Cycle Power Train No.3 – Sprocket Output
S138		TR	Power Train – E/W to S/N + Non-Drive End PTO #cf S215
S139		TR	Power Train – E/W + Centrifugal #combine with S140?
S140		TR	Power Train – E/W + Axial 90° Bevel Drive
S141		TR	Power Train – Honda Acura Type Through-Sump Relay-Shaft: Non-Drive End PTO #cfS133/S61
S142		TR	Power Train – Honda Acura Type Through-Sump Relay-Shaft: Crankshaft T/E PTO
S143		TR	Power Train – Honda Acura Type: Sandwich PTO, Pumps behind Transmission
S144		TR	Power Train – Honda Acura Type: Sandwich PTO, Pumps behind Engine #combine S's141-144?
S145		TR	Power Train – Front Wheel Drive + Sandwich PTO
S146		TR	Power Train – Front Engine / Rear Transmission + Sandwich PTO
S147		TR	Power Train – Front Engine Transaxle + FWD+ Sandwich PTO
S148		TR	Power Train – E/W to S/N + Sandwich PTO
S149		TR	Power Train – E/W + Sandwich PTO + Axial 90° Bevel Drive
S150		TR	Power Train – S/N + Transaxle + Sandwich PTO & Offset Pump
S151		TR	Power Train – S/N Front Wheel Drive Transaxle, 4-WD Output PTO to Pump #into D15?
S152		TR	Power Train – N/S Rear Wheel Drive Transaxle, Timing End PTO to Side Shafts
S153		TR	Power Train – S/N Rear Wheel Drive Transaxle + Sandwich PTO to Twin / Offset Pumps
S154		TR	Power Train – N/S Rear Wheel Drive Transaxle, Input Shaft / 4WD PTO Driving Axle Shafts
S155		TR	Centrifugal Pumps on Drive-shaft Outputs, Internal De-Couplers & Differential Lock
S156		TR	Centrifugal Pump on Relay Shaft, Internal De-Couplers & Differential Lock
S157		TR	Power Train – E/W Front Wheel Drive, Crankshaft Timing End PTO

# APPENDIX A

Page 5 of 6

Gibbs patents for which Terry Roycroft is listed as inventor/co-inventor Page 4 of 5

Suggestion no.	Draft no.	Inventor (s)	Working title
S158		TR	Power Train – E/W Front Wheel Drive, Non-Drive End Transmission PTO
S159		TR	Power Train – E/W Front Wheel Drive, Wrap Around PTO
S160		TR	Suspension Layout – Pivoting Assembly / Screw Actuator
S161		TR	Suspension Layout – Cylinder & Ram
S162		TR	Suspension Layout – MacPherson / Gibbs Strut with Radius Arm & Drive Shaft as LCA
S163		TR	Suspension Layout – Roller in Track & Bushings on Tube
S164		TR	Suspension Layout – MacPherson / Gibbs Strut with Sliding Trunnion & LCA
S165		TR	Suspension Layout – Leaf-Spring or Telescopic UCA Sliding Retraction
S166		TR	Suspension Layout – MacPherson / Gibbs Strut & LCA
S167		TR	Suspension Layout – Leading / Trailing Link (2CV Type)
S168		TR	Suspension Layout – Swing Axle & Radius Arm
S169		TR IP	Reversing cover trim control (1) (w/ jet)
S170		TR J.Randle	Marine steering ratio change and disconnect
S171		TR	Marine steering cam-plate
S172		TR SM	Reversing cover trim control (2) (w/ jet)
S173		TR	Quad-radial driveshafts
S174		TR	Through-hull torsion bar fitting
S175		TR	Bump stop on revolving shaft (engages pawl)
S176		TR	Water jet impeller: direct belt drive
S182		TR	Cooling compartment
S185		TR?	Strut in cylinder #cf S190
S186		TR?	Swing-away arm
S187		TR?	Linear actuator
S188		TR?	Over-centre radial arm
S189		TR?	Strut in threaded tube
S190		TR?	Strut in annular cylinder #cf S185
S191		TR?	Long swing-arm
S192		TR?	Coil spring in threaded nut
S193		TR?	Indespension unit/bumpstop
S194		TR	Nova 2 suspension: bushes in threaded struts
S195		TR?	Sandwich PTO/ 90° bevel/ axial jet
S196		TR?	Wrap around transmission/ water jet PTO #cf D16/S50
S197		TR?	As S196 with HYVO chain
S198		TR?	Wrap around transmission/ HYVO PTO/ centrifugal jet drive; #Very similar to S197!
S199		TR	Sportjet: belt drive to transaxle #=S135

Handwritten signatures and initials at the bottom of the page, including a large signature on the left and several initials and smaller signatures on the right.

# APPENDIX A

Page 6 of 6

Gibbs patents for which Terry Roycroft is listed as inventor/co-inventor Page 5 of 5

Suggestion no.	Draft no.	Inventor (s)	Working title
S200		TR?	Sportjet: bevel drive to E/W transmission
S201		TR?	Sportjet: with separate automotive FWD powertrain
S202		TR?	Sportjet: with separate automotive RWD powertrain
S203		TR?	N/S powertrain, direct drive to water jet, belt drive to transaxle
S204		TR?	S/N powertrain, sandwich PTO, transfer case #combine with S148?
S206		TR?	S/N powertrain, T/E PTO #combine with S56/D14?
S207		TR?	E/W powertrain, T/E PTO #combine with S140?
S208		TR?	E/W powertrain, 4WD PTO, driveshaft decouplers
S209		TR	S/N powertrain, transfer case, decoupler made of synchronizer in CV joint #combine with D2 and/or D26?
S213		TR?	E/W powertrain, T/E PTO (Just like D1- done on Hobbycar)
S214		TR?	S/N powertrain, T/E PTO, driveshaft through sump; Or N/S powertrain w/chain drive
S215		TR	Power Train - E/W to S/N + Non-Drive End PTO #cf S138
S216		TR?	N/S powertrain, transfer box, offset jet
S217		TR?	S/N powertrain, transfer case, driveshaft, PTO
S218		TR?	E/W & axial 90° bevel #cf S139/140
S219		TR?	S/N powertrain, stepdown PTO to jet #cf S145/D33
S220		TR?	S/N powertrain - as S145 with angled PTO shaft
S221		TR?	S/N offset powertrain - angled shaft to PTO from transfer box
S222		TR?	N/S powertrain - PTO to RWD; direct drive to jet
S223		TR?	E/W mid engine, end on gearbox, wrap around PTO
S224		TR?	E/W front engine, end on gearbox, wrap around PTO; long propshaft to water jet



Handwritten signatures of Terry Roycroft and others, including a signature that appears to be 'D. D. D.' or similar.

**From:** Ken Strachan  
**Sent:** 04 October 2006 17:25  
**To:** Terry Roycroft (TMRoycroft@xtra.co.nz)  
**Subject:** Assignment and declaration for patent D64

Terry,

With reference to your e-mail of 2nd September from Simon Roycroft's address, finance is unfortunately not available to recompense you for four hours' work at fifty pounds per hour to sign two forms.

Our understanding of your agreement with Gibbs Technologies Ltd. when you left your employment with us, dated 21st December 2000, was that you would sign such forms on request without further payment, as they were an inevitable appendix to your technical input here at Gibbs, for which you had already been rewarded by means of salary.

Should you impose unexpected preconditions to your signatures, which were neither expressed nor foreseen at the time of our agreement, we must interpret this as an abrogation of our agreement.

To us, a refusal to sign documents without unprecedented payment is still a refusal to sign.

We look forward to receiving signed forms by return of e-mail. If these are not received, we must interpret this as an effective refusal to sign, and would necessarily have to move forward without your signatures.

Sincerely

Ken Strachan - Patent Engineer  
Gibbs Technologies Ltd  
Avenue Road  
Nuneaton  
Warks CV11 4LY  
Tel. 02476-388828 (switchboard); -377925 (direct);  
Fax 02476-388868

**From:** System Administrator  
**To:** TMRoycroft@xtra.co.nz  
**Sent:** 04 October 2006 17:27  
**Subject:** Delivered: Mail System Delivery Report[Scanned]

Your message

**To:** TMRoycroft@xtra.co.nz  
**Subject:** Assignment and declaration for patent D64  
**Sent:** 04/10/2006 17:25

was delivered to the following recipient(s):

TMRoycroft@xtra.co.nz on 04/10/2006 17:25

**From:** T and M Roycroft [tmroycroft@xtra.co.nz]  
**Sent:** 05 October 2006 11:26  
**To:** Ken Strachan  
**Subject:** Read: Assignment and declaration for patent D64[Scanned]



ATT28364.bt (257

B)

This is a receipt for the mail you sent to <TMRoycroft@xtra.co.nz> at  
5/10/2006 5:24 a.m.

This receipt verifies that the message has been displayed on the recipient's computer  
at 5/10/2006 11:26 p.m.

## APPENDIX B

**Ken Strachan**

---

**From:** Simon Roycroft [airjetmarine@ps.gen.nz]  
**Sent:** 02 September 2006 22:29  
**To:** Ken Strachan  
**Subject:** Re: FTAO Terry Roycroft: assignment and declaration for patent D64[Scanned]  
**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Dear Ken

There is no refusal to sign these documents. You can only sign on my behalf if refuse, I am simply asking Neil to authorise payment for my time.

T. Roycroft

----- Original Message -----

**From:** Ken Strachan  
**To:** airjetmarine@ps.gen.nz  
**Sent:** Saturday, September 02, 2006 1:16 AM  
**Subject:** FTAO Terry Roycroft: assignment and declaration for patent D64

Terry,

with respect to our letter of 9th August 2006, regarding patent D64: we would be most grateful if you could sign these forms and fax them to us as a matter of urgency.

If you cannot or do not sign the forms, there is provision under US law to sign on your behalf.

It would be tidier if you signed these documents, in view of your earlier agreement with Gibbs Technologies Ltd., dated 21st December 2000.

*Best regards*

*Ken Strachan - Patent Engineer;  
Gibbs Technologies Ltd.,  
Avenue Road, Nuneaton,  
Warwickshire CV11 4LY, UK  
Tel. +44-2476-377925; backup +44-2476-388828; fax +44-2476-388868*

*This information is confidential and must not be disclosed. Please delete if received in error.*

APPENDIX B

**Ken Strachan**

---

**From:** Ken Strachan  
**Sent:** 01 September 2006 14:16  
**To:** 'airjetmarine@ps.gen.nz'  
**Subject:** FTAO Terry Roycroft: assignment and declaration for patent D64

Terry,

with respect to our letter of 9th August 2006, regarding patent D64: we would be most grateful if you could sign these forms and fax them to us as a matter of urgency.

If you cannot or do not sign the forms, there is provision under US law to sign on your behalf.

It would be tidier if you signed these documents, in view of your earlier agreement with Gibbs Technologies Ltd., dated 21st December 2000.

*Best regards*

*Ken Strachan - Patent Engineer;  
Gibbs Technologies Ltd.,  
Avenue Road, Nuneaton,  
Warwickshire CV11 4LY, UK  
Tel. +44-2476-377925; backup +44-2476-388828; fax +44-2476-388868*

*This information is confidential and must not be disclosed. Please delete if received in error.*



## APPENDIX B

**Ken Strachan**

---

**From:** Simon Roycroft [airjetmarine@ps.gen.nz]  
**Sent:** 25 August 2006 06:06  
**To:** Ken Strachan  
**Subject:** Fw: CVJ's wrong way round![Scanned]  
**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

----- Original Message -----

**From:** [airjetmarine@ps.gen.nz](mailto:airjetmarine@ps.gen.nz)  
**To:** [kstrachan@gibbs](mailto:kstrachan@gibbs)  
**Sent:** Friday, August 25, 2006 4:08 PM  
**Subject:** CVJ's wrong way round!

Ken

received your assignment & declaration doc's today. Will sign & post/ fax soonest.  
I will have to charge for time, postage ect. On this; say 4 hrs @ 50 pounds/ hr plus costs.  
Could you email approval (per Neil) to above address? I will invoice duly including payment arrangements.

I will enclose the drake copies because I have them. If you bought the sequel book I mentioned, I would like to know of it.

I am intrigued to have been replaced by a fax machine.

The very best  
Terry R

## APPENDIX B

**Ken Strachan**

---

**From:** T and M Roycroft [tmroycroft@xtra.co.nz]  
**Sent:** 25 July 2006 22:55  
**To:** Ken Strachan  
**Subject:** Dukws & drakes[Scanned]

Ken, assignments, declarations – bring' em on!

Yes, you got that address right, we're still here.

Thats(1), now for (2):

The Drake has two engines twin props, drop boxes  
at the wheel-hubs, and a 'thicket of shafts' to four diffs,  
eight wheels, the props and more. I will copy the two  
pages and return with the signed forms, but here are the  
particulars:

Military and Civilian Amphibians, 1940-1990. Compiled by  
R.M. Clarke ISBN 1 85520 2514 Brooklands Books Ltd.  
PO Box 146 Cobham, Surrey KT11 1LG  
Ph. 01932 865051 (prefix may have changed)

A man of Brooklands told me in 2000 that a  
second book of amphibians was due to be released.

That might be of interest to you and me both....

My compliments to Mr Giles , and say that I too once  
had amphibious ideas-and look what happened to me.

These are treacherous waters. All the best to yourself  
and Sally.

Terry R.

P.S. We'll be off line until 7 Sep. (entire secretarial staff gone to US!) 